RESEARCH ARTICLE

Preparation of Ca-chitosan and application for *Chlorella vulgaris* flocculation

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Chlorella vulgaris (*C. vulgaris*) has emerged as a premium feedstock for health food and pharmaceutics production. Flocculation represents a cost-effective technology for overcoming the harvesting challenges in large-scale cultivation of *C. vulgaris*. Although chitosan is an ideal green flocculant for microalgae harvesting, but high cost hinders its commercial application. The main objective of the study was to develop a modified chitosan through grafting Ca (II) onto chitosan and optimize its flocculation parameters for *C. vulgaris*. Comprehensive characterization of the prepared Ca-chitosan through scanning electron microscope – energy dispersive spectrometer (SEM-EDS), Fourier transform infrared spectrophotometer (FTIR), and X-ray diffraction (XRD) confirmed the successful grafted of Ca (II). The flocculation rate reached 96.12% under optimized conditions (pH: 8.5, Ca-chitosan: 5.5 mg/L, flocculation time: 80 minutes) recommended by Response Surface Methodology (RSM). The results indicated that the integration of calcium with chitosan enhanced the flocculation efficiency for *C. vulgaris* by their synergistic effect. These findings demonstrated that Ca-chitosan has potential application prospect for industrial *C. vulgaris* flocculation harvesting.

Keywords: flocculation; Chlorella vulgaris; Ca-chitosan; response surface methodology.

Introduction

Chlorella vulgaris (C. vulgaris) has been widely used as high-quality raw materials for production health food, animal feed, biofuels, and pharmaceutics due to its rich in protein, lipids, carbohydrates, pigments, vitamins, and minerals [1, 2]. However, the lack of cost-effective technique for microalgae harvesting remains a major bottleneck in large-scale production. Current harvesting cost accounts for approximately 20 - 30% of total microalgae biomass production costs due to their small cell size, stable suspension, and low biomass concentration [3, 4].

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Microalgae harvesting methods primarily include filtration, centrifugation, flocculation, and membrane filtration [5, 6]. Among these techniques, flocculation stands out as a costeffective and green approach for large-scale microalgae harvesting [7]. Current flocculation strategies mainly include self-flocculation, addition of multivalent cations such as ammonium sulfate, ferric sulfate, and ferric chloride, use of organic polymer like chitosan and polyelectrolytes, and application of bioflocculant [8]. Self-flocculation refers to the spontaneous aggregation of microalgae, which is regarded as an eco-friendly and efficient method of microalgae harvesting. However, few microalgae species exhibit the capability, which limits its practical application [8]. Chemical flocculation microalgae by using multivalent cations or synthetic polymers demonstrates high flocculation efficiency, but the residual flocculants may contaminate the biomass and therefore limit their application in food production [8, 9]. Although bioflocculation has been proven to be effective and environmentally benign, but the adding of bioflocculant can pose possible potential contamination result from alter biomass composition [7, 10]. Chitosan, a cationic biopolymer derived from chitin deacetylation, has emerged as a promising flocculant for microalgae harvesting due to its inherent advantages non-toxicity, of biodegradability, and biocompatibility [11, 12]. Fraid et al. found that the optimal flocculation efficiency of Nannochloropsis sp by chitosan reached 93% at pH 9.0 with 100 mg/L chitosan [13]. However, the efficiency of marine diatom Chaetoceros muelleri flocculation by using chitosan was below 20% in acidic and slightly acidic pH conditions (pH 4.0 - 6.5) [12]. Economic analyses further indicated the limitations of Chlorella vulgaris flocculation by using chitosan. The cost of dry C. vulgaris biomass per ton for chitosan was 10 to 100 folds of conventional inorganic coagulants like aluminum sulfate and ferric chloride, which mainly attributed to its poor efficiency as 200 mg/L for 62% recovery [4]. These technical and economic constraints including pH-dependent performance instability, high reagent consumption, and high cost currently hinder chitosan's industrial-scale implementation in microalgae harvesting. Recent advancements in chitosan-based flocculation strategies focus on optimizing harvesting efficiency while reducing reagent consumption. For Chlorella vulgaris, supplementation with FeCl₃ and $Al_2(SO_4)_3$ enhanced chitosan flocculation efficiency by 57% and 24%, respectively [4]. In a comprehensive comparative analysis, Loganathan et al. evaluated the efficiency of mono- versus dual-flocculant systems for marine microalgae harvesting from seawater [10]. Remarkably, the chitosan-alum combination (1 ppm of chitosan + 10 ppm of alum) achieved 97.6%, which was far higher than the maximum efficiency of 90.9% with 20 ppm chitosan. Our previous study demonstrated that the adjustment of pH using calcium hydroxide not only effectively improved *C. vulgaris* flocculation, but also significantly reduced chitosan dose [14]. These findings demonstrated that metal-chitosan combined application could effectively improve microalgae flocculation and simultaneously reduce the consumption of chitosan due to their synergistic action.

Crosslinking of metal ions with chitosan by complexation has been proved to effectively promote the adsorption capacity of chitosan for heavy metal ions from wastewater [15, 16]. However, few studies have investigated the crosslinking of chitosan with metal ions and its subsequent application for flocculating microalgae. This study synthesized a novel Cachitosan complex through ionotropic crosslinking of chitosan with Ca2+ ions to leverage their synergistic interactions for enhanced microalga flocculation and assessed its efficacy for C. vulgaris flocculation harvesting. Response surface methodology (RSM) was employed to systematically optimize critical parameters governing the flocculation process. This work provided theoretical and technological guidance for the modification of chitosan to prepare efficient chitosan base flocculants that could be used in the harvesting of microalgae.

Materials and methods Cultivation of *C. vulgaris*

C. vulgaris used in the study was provided by Jiangxi Microalgae Cultivation and Further Processing Engineering Technology Center (Ruijin, Jiangxi, China). *C. vulgaris* was cultured in 600 mL modified BG-11 medium containing 0.8 g of urea, 0.25 g of KH₂PO₄, 0.205 g of MgSO₄·7H₂O, 0.1 g of KCl, 0.026 g of CaCl₂·2H₂O, and 0.018 g of FeSO₄·7H₂O in 1 L of distill water and a GXZ-280A-LED illumination incubator (Ningbo Jiangnan Instrument Factory, Ningbo, Zhejiang, China) at 30 \pm 1°C and 12:12 h light/dark cycle at a light intensity of 5,800 lx.

Run	рН	Ca-chitosan concentration (mg/L)	Flocculation time (h)	Flocculation reate (%)	
	(A)	(B)	(C)	Measured	Predicted
1	8.00	3.00	80.00	85.67	85.40
2	9.00	3.00	80.00	96.80	96.57
3	8.00	8.00	80.00	87.41	87.64
4	9.00	8.00	80.00	95.70	95.97
5	8.00	5.50	40.00	80.80	81.11
6	9.00	5.50	40.00	91.36	91.64
7	8.00	5.50	120.00	87.67	87.39
8	9.00	5.50	120.00	96.68	96.37
9	8.50	3.00	40.00	89.37	89.32
10	8.50	8.00	40.00	94.25	93.71
11	8.50	3.00	120.00	97.85	98.39
12	8.50	8.00	120.00	95.60	95.65
13	8.50	5.50	80.00	95.70	95.52
14	8.50	5.50	80.00	94.84	95.52
15	8.50	5.50	80.00	95.87	95.52
16	8.50	5.50	80.00	95.27	95.52
17	8.50	5.50	80.00	95.90	95.52

Table 1. BBD matrix and the results of flocculation rate optimization.

Preparation of Ca-chitosan

Ca-chitosan was prepared by adding 8 g of chitosan (Shanghai Shifeng Biological Science and Technology Co., Ltd., Shanghai, China) into 100 mL saturated calcium chloride solution and stirring at 150 rpm for 1 hour before being filtrated and lyophilized to obtain solid Ca-chitosan.

Single factor experiments

Single-factor experiments were conducted at sequent flocculation parameters including solution pHs at 7.0, 7.5, 8.0, 8.5, 9.0, Ca-chitosan concentrations of 1, 3, 5, 7, 10 mg/L, and flocculation time of 5, 10, 20, 40, 90, 120, 180 minutes. The initial OD₆₈₀ of *C. vulgaris* solution was adjusted to about 1.0 with deionized water. The pH values were adjusted to the set values used 0.1 M HCl or 0.1 M Ca(OH)₂. Flocculation experiments were conducted in 30 mL of *C. vulgaris* solution. After stirring for 3 min, the solution was transferred into 30 mL graduated test tube, and settlement flocculation for the set time.

Response surface methodology

The optimal range for the flocculation variables Ca-chitosan concentration, solution pH, and flocculation time were selected based on the results of single factor experiments. 17 experimental runs were performed with 5 replicates at the center of the design by Box-Behnken design (BBD). The experimental design matrix in coded and uncoded units were shown in Table 1. Flocculation rate (%) was determined through measuring the OD value at 680 nm of the supernatant taken from the middle of C. vulgaris suspension by UV 6000 spectrophotometer (Shanghai Leewen Scientific Instrument, Shanghai, China) before and after flocculation and was calculated as follows.

Flocculation rate(%)=
$$\frac{OD_{680i}-OD_{680i}}{OD_{680i}} \times 100$$
 (1)

Characterization

Surface morphology of chitosan and Ca-chitosan sample was recorded by MLA650F field emission scanning electron microscope (FEI, Thermo Fisher Scientific, Richardson, Texas, USA). The elemental composition was detected using QUANTAX Energy Dispersion spectrometer (Rheinstetten, Bruker, Germany). Infrared

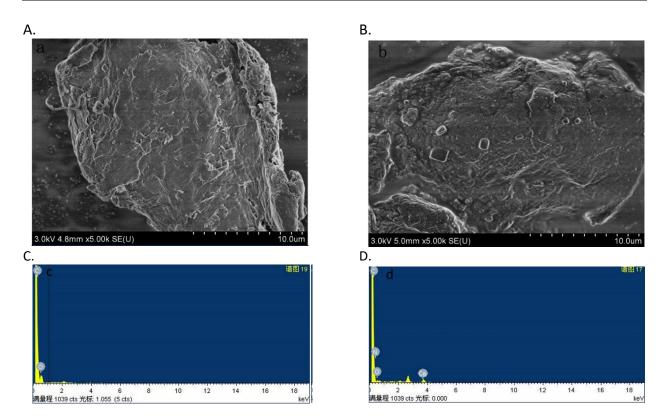


Figure 1. SEM spectra of chitosan (A) and Ca-chitosan (B), EDS spectra of chitosan (C) and Ca-chitosan (D).

spectra of chitosan and Ca-chitosan were recorded in the range of 4,000 – 800/cm using a Nicolet iS5 Fourier Transform Infrared spectrometer (FTIR) (Thermo Fisher Scientific, Waltham, Massachusetts, USA). The powder Xray diffraction (XRD) measurements were performed using an Empyrean diffractometer (PANalytical Ltd., Netherlands).

Statistical analysis

Design-Expert 8.06 software (Stat Ease Inc., Minneapolis, MN, USA) was used to estimate the pure error sum of squares and flocculation rate. The regression model and its accuracy and fitness were evaluated by analysis of variance (ANOVA). Origin 2018 (OriginLab Corporation, Northampton, Massachusetts, USA) was employed for the statistical analysis of this study. The results were presented as the mean values of triplicated experimental results under identical conditions.

Results and discussion

Characterization

To verify whether the Ca (II) was successfully grafted on chitosan, the chitosan and the Cachitosan were characterized by scanning electron microscope - energy dispersive spectrometer (SEM-EDS), XRD, and FTIR analysis. The results showed that the surface of chitosan was sheeted, while some rectangular and round crystalloid substances were found on the surface of Cachitosan (Figure 1A and 1B). EDS spectra revealed that the Ca (II) was grafted onto chitosan, and the Ca content on the surface of Ca-chitosan accounted for 2.84% (weight percent) (Figure 1C and 1D). The result confirmed the successfully grafted of Ca (II) onto chitosan. XRD was carried out to evaluate the phase and structure of chitosan and Ca-chitosan. Two characteristic diffraction peaks of chitosan were observed at 12° and 20° in the XRD spectrum of chitosan, respectively (Figure 2) [17]. In the spectra of Cachitosan, the diffraction peak at 20° was distinct weakening, which should be due to the hydrogen bond between molecules in chitosan being disrupted after chelation of Ca and forming an amorphous structure [18]. The distinct crystal diffraction peaks of CaCl₂ (6H₂O) were found in the spectrum of Ca-chitosan. XRD analysis confirmed that Ca was grated onto chitosan.

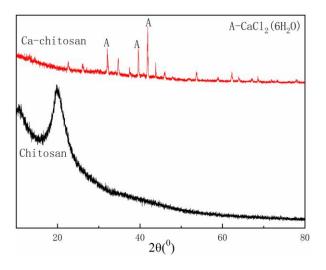


Figure 2. XRD spectra of chitosan and Ca-chitosan.

The FTIR spectra of chitosan and Ca-chitosan demonstrated that, in the spectra of chitosan, a broad band at around 3,415/cm was assigned to overlapped stretching vibrations the of hydroxyl/amine, while the peak at 1,639/cm was the distinguished stretching vibration of amide carbonyl band [19]. The stretching vibration of -COO- (symmetric), -C-N-, and -C-O- appeared 1,384/cm, 1,329/cm, and 1,078/cm, at respectively (Figure 3) [20]. Compared with chitosan, the amine peaks became sharp and negative shifted in the FTIR spectrum of Cachitosan. The overlapped stretching vibrations of hydroxyl peak and amine peak both sharpened and negative shifted to 3,409/cm and 1,597/cm, respectively. The negative shift of amide peaks of the amide groups on chitosan should be due to the coordination of Ca with the Ca being chemically adsorbed onto chitosan [20]. The peaks of -COO- (symmetric) at 1,381/cm and -C-N- stretching vibration at 1,327/cm both became stronger after Ca was grafted onto

chitosan. The shifted from 1,078/cm to 1,089/cm after grafted Ca indicated -C-O- groups took part in the adsorption. The results were consistent with previous report that also revealed some significantly changes happened in the –N–H– and –C–N– stretching vibration peaks of chitosan-cellulose beads after adsorption of Cu(II) [21]. The analysis of FTIR confirmed that the hydroxyl, carboxyl, and amide groups of chitosan took part in the chemical adsorption of Ca(II) onto chitosan. The analysis of SEM-EDS and XRD all confirmed that the Ca was grafted onto chitosan, and FTIR analysis confirmed that the graft was chemical adsorption.

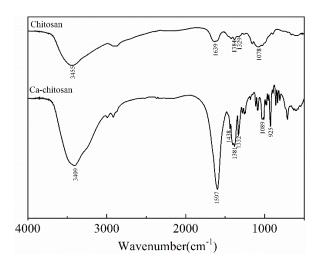


Figure 3. FTIR spectra of chitosan and Ca-chitosan.

Single-factor experiment

C. vulgaris was flocculated with 5 mg/L Cachitosan in different pHs for 1 hour to evaluate the influence of pH on the flocculation behaviors. The results showed that the flocculation rate was less than 50% when pH was lower than 8.0, and then it increased rapidly from 48% to 89.1% when the pH was increased from 7.5 to 8.0 (Figure 4a). The increase rate was then slowed down over the pH interval of 8.0 to 9.0. The result was consistent with the study of Spilling et al. that the efficiency of flocculation microalgae by chitosan increased with the increase of pH in the interval of 6.5 to 8.2 [22]. Therefore, pH 8.5 was selected in the flow-up experiments.

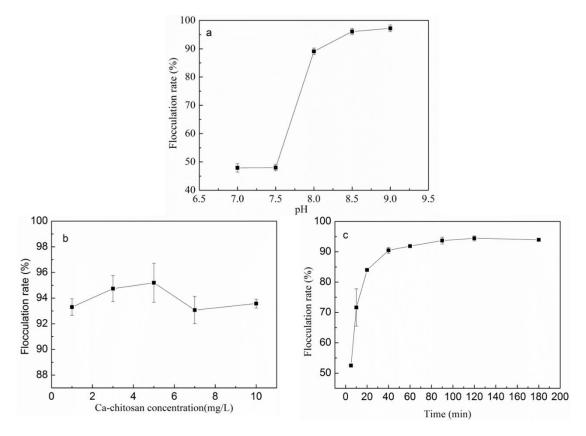


Figure 4. Effect of pH (a), Ca-chitosan concentration (b), and flocculation time (c) on the flocculation performance of C. vulgaris.

Preliminary experiments demonstrated that the flocculation rate was higher than 90% when Cachitosan concentration was higher than 1 mg/L. The result confirmed that the Ca-chitosan was far more efficient than chitosan, indicating that there was synergistic action of Ca and chitosan. effect Therefore, the of Ca-chitosan concentration (1 - 10 mg/L) on the flocculation rate of C. vulgaris was studied. The results showed that the flocculation rate recorded an oscillating trend of 93.1 - 95.2% in the concentration range studied with the maximum flocculation rate of 95.2% being achieved at 5 mg/L (Figure 4b). The flocculation rate decreased slowly when the concentration was higher than 5 mg/L, which was mainly because excess calcium chitosan caused some C. vulgaris cells to have a positive surface charge and lead to restabilization of the suspension through electrostatic repulsion [23]. Therefore, 5 mg/L Ca-chitosan was chosen in the following experiment. The flocculation rate increased quickly with the increase of sedimentation time at the first 40 minutes, and more than 90% C. vulgaris was flocculated in the stage. It then increased slowly and reached equilibrium with the extension of flocculation, and the maximum flocculation rate reached 94.45% at 120 minutes (Figure 4c). The results were consistent with the research of Kumaran et al. that more than 90% of the marine diatom Chaetoceros muelleri was flocculated by using chitosan in the first 40 minutes, and no significant increase was observed with the extension of flocculation [12]. The results of this study might be because the aggregation of C. vulgaris through electrostatic attraction and bridging action that required sufficient time before reaching equilibrium. Therefore, more than 80 minutes and no longer than 120 minutes were required for the sufficient flocculation of C. vulgaris.

Response surfaces optimization

Ca-chitosan concentration, pH, and flocculation time were chosen as the variables, and the

Source	Sum of squares	df	Mean square	F value	P value
Model significant	374.60	9	41.62	144.37	< 0.0001
рН (А)	190.03	1	190.03	659.12	< 0.0001
Ca-chitosan concentration (B)	1.34	1	1.34	4.64	0.0683
Flocculation time (C)	60.61	1	60.61	210.23	< 0.0001
AB	2.02	1	2.02	6.99	0.0332
AC	0.60	1	0.60	2.08	0.1921
BC	12.71	1	12.71	44.08	0.0003
A ²	90.28	1	90.28	313.14	< 0.0001
B ²	1.09	1	1.09	3.79	0.0926
C ²	13.01	1	13.01	45.14	0.0003
Residual	2.02	7	0.29		
Lack of Fit	1.19	3	0.40	1.93	0.2662
Pure Error	0.82	4	0.21		
Cor Total	376.62	16			

 Table 2. ANOVA of the fitted quadratic polynomial model of flocculation rate.

flocculation parameters were optimized by RSM. The measured and predicted values of flocculation rates were shown in Table 1. A quadratic model was obtained by fitting the experimental data into Design-Expert 8.06. The model in terms of the coded factors was then determined to calculate the flocculation rate (%) of *C. vulgaris* as follows.

Flocculation rate (%) = 95.52 + 4.87A + 0.41B + 2.75C - 0.71AB - 0.39AC - 1.78BC - 4.63A2 + 0.51B2 - 1.76C2 (2)

where A, B, and C were pH, Ca-chitosan and flocculation concentration, time, respectively. ANOVA test, regression coefficient estimate, and test of significance for flocculation C. vulgaris with Ca-chitosan were performed to evaluate the statistical significance. The results showed low P value and high F value of the proposed model (P < 0.0001, F = 144), which indicated that the proposed quadratic polynomial model was significant and suitable for the prediction of experimental data. Lack of fit (LOF) represents the difference between the model function and the real function. The model was considered significant when the *P* value was higher than 0.05, and the LOF as 0.266 in the study, which indicated that the model was suitable for the prediction of flocculation rate

(Table 2) [24]. Adequate precision is the ratio value of signal to noise, and the value larger than 4 is considered as desirable [25]. In the present study, the adequate precision value of 41.961 was far larger than 4, which confirmed the consistency of the predicted and measured values. The R² coefficient represents the proportion of the total variation in the response predicted by the model, indicating ratio of sum of squares due to regression to total sum of squares. The closer of the correlation coefficient (R²) to 1 is desirable and a reasonable agreement with adjusted R² is necessary [26]. The results showed that the R² value of 0.9946, adjusted R² of 0.9878, and predicted R² of 0.9459 highlighted the high correlation between experimental and predicted values. The predicted values were very close to the measured values (Table 1), which confirmed that the model could be used to predict the flocculation rate of C. vulgaris. The results demonstrated that all residues lied on and near to the fitted straight line (Figure 5), which confirmed the constancy of the variance and normal distribution of data. The normal probability plot further confirmed the good agreement between the predicted and experiment data. The results showed significant influences of pH and flocculation time on the flocculation rate of C. vulgaris (P < 0.05), while Ca-chitosan concentration did not show

significant influence (P > 0.05). Further, the interaction of pH and Ca-chitosan concentration demonstrated significant effect on the flocculation rate of *C. vulgaris* (P < 0.05), while the interaction of Ca-chitosan concentration and the flocculation time also demonstrated the significant effect (P < 0.05).

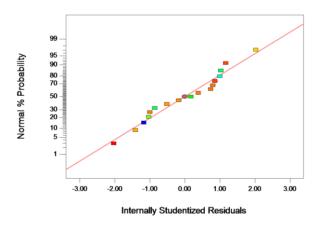


Figure 5. Normal probability plot of residuals.

Three-dimensional (3D) surface response plots and two-dimensional contour plots can be used to determine the interaction between the variables and their significance in the response [27]. The flocculation rate first increased with the increase of pH from 8.0 to 8.6 and reached the maximum value of 96.1% and then slightly decreased with the pH increased further (Figure 6a and 6c). Similar results were found in some studies on the flocculation of microalgae by using chitosan [13, 28]. The flocculation rate kept increasing with the increase of pH might be because the higher pH could provide more positive charge to neutralize the negative charger on the microalgae cell surface and resulted in the aggregation of C. vulgaris through electrostatic attraction [29]. The slight decrease in the elevated pH levels might be attributed to the excessive positive charge that could induce the electrostatic repulsion among C. vulgaris cells and consequently reduce aggregation tendency. The influence of pH on C. vulgaris flocculation was consistent with that in single factor experiment. The flocculation rate kept increasing from 3 to 8 mg/L (Figure 6a and 6e). However, the influence was not significant as that of pH value. The promotion effect of Ca-chitosan concentration was indistinctive because the flocculation rate maintained at a high level in the studied range. Similar results were found in the flocculation of marine diatom Chaetoceros muelleri with chitosan [12]. Compared the photos of raw C. vulgaris with that flocculated by Ca-chitosan confirmed the formation of cells flocs during C. vulgaris flocculation (Figure 7). Cachitosan induced the entrapment and bridging of C. vulgaris cells. The grafted of Ca could stimulate C. vulgaris cells aggregation to form bigger flocs by neutralization the negative charge of cells [30]. Flocculation time showed a distinct influence on C. vulgaris flocculation by Cachitosan. The flocculation rate increased from about 84% to 98% along with flocculation time increased from 40 to 120 minutes (Figure 6b and 6e). Similar results were found in the flocculation of Chaetoceros muelleri by chitosan and C. vulgaris flocculated by using chitosan [12, 31]. The increased flocculation rate with the increase of flocculation time might be because the break of the steady state by charge neutralization and the bridging of small cells to form bigger flocs both require enough time before it reached the balance. The extension of time could not distinctly promote the flocculation of C. vulgaris when it reached equilibrium. The elliptical contour plot represents the significant interaction between independent variables [32]. The results showed that there were indistinct interactions between the variables for no contour plots exhibited elliptical shape (Figure 6b, 6d, and 6f). The results confirmed the analysis results of ANOVA.

with the increase of Ca-chitosan concentration

The predicted flocculation rate was 95.52% under the optimized flocculation conditions that were pH of 8.5, Ca-chitosan concentration of 5.5 mg/L, and flocculation time of 80 minutes. Three verification tests were carried out to evaluate the precision of the model with the measured flocculation rate of 96.12%, which was consistent with the predicted value and confirmed the

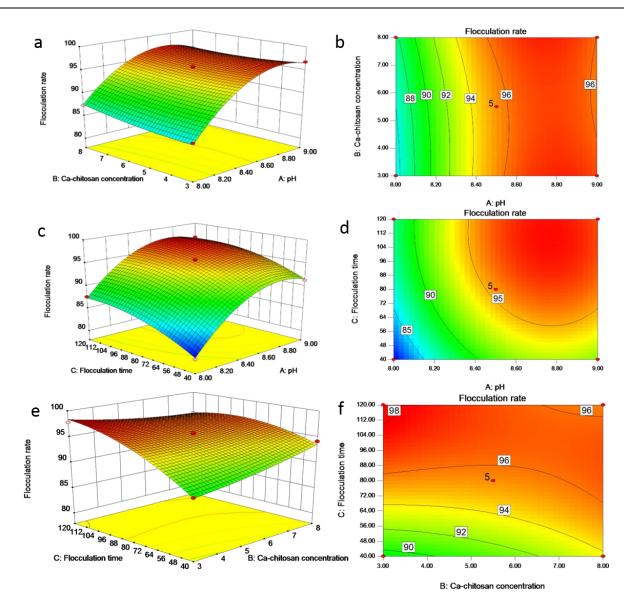


Figure 6. Response surfaces and their corresponding contour plots for flocculation rate of *C. vulgaris* as a functional of pH and flocculation time (a, b), pH and Ca-chitosan concentration (c, d), Ca-chitosan concentration and flocculation time (e, f).

validity of the proposed model. Previous research reported that the flocculation rate of Scenedesmus was lower than 10% when the chitosan concentrations were in the range of 0 to 20 mg/L [33]. Cai et al. also reported that the flocculation rate of Scenedesmus all lower than 30% when quaternary ammonium chitosan concentrations were in the range of 0 to 20 mg/L, while the flocculation rate was higher than 95% when the combination use of 8 mg/L quaternary ammonium chitosan and 16 mg/L xanthan gum [34]. The maximum flocculation rate 87.3% was achieved under the microalgae flocculation by 30 mg/L chitosan. The relationship between chitosan concentration and *Chlorella vulgaris* biomass revealed that 250 mg/L, 125 mg/L, and 50 mg/L chitosan were needed to insure the flocculation rate no lower than 90% when the biomass concentrations were 1.2 g/L, 0.8 g/L, and 0.5 g/L, respectively [31]. More than 99% of marine diatom *Chaetoceros muelleri* could be flocculated by 80 mg/L chitosan at alkaline condition [12]. Vu *et al.* found that the flocculation rate of *C. vulgaris* was around 20%

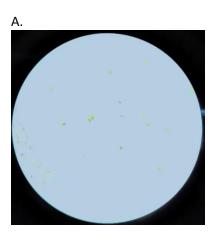


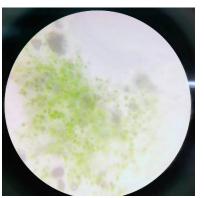
Figure 7. Photos of raw C. vulgaris (A) and flocculation by Ca-chitosan (B).

and 60% by using 40 mg/L and 200 mg/L chitosan, respectively [4]. The flocculation performance of *C. vulgaris* by using Ca-chitosan in this study demonstrated obvious advantages in comparison with the previous studies.

Conclusion

In this study, calcium-chitosan was prepared by chemical adsorption of Ca(II), hoping that the modified chitosan could exert the synergistic effect of Ca(II) and chitosan to flocculate Chlorella vulgaris. The results of XRD and SEM-EDS both confirmed the successful graft of Ca(II) onto chitosan. FTIR analysis confirmed that the Ca(II) was chemical adsorbed by the hydroxyl, carboxyl, and amide groups of chitosan. The influence of Ca-chitosan concentration on the flocculation confirmed that Ca-chitosan was an efficient flocculant for C. vulgaris. Flocculation rate of C. vulgaris by using Ca-chitosan reached 96.12% under the optimal conditions proposed by RSM, indicated that the modified chitosan by Ca(II) effectively improved the performance of chitosan in the flocculation of C. vulgaris. The results of this research provided useful information for the application of Ca-chitosan in the flocculation harvesting of microalgae and guide for the exploring of efficient chitosan base flocculants.

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